



UN JOINT 2018 ANNUAL WORK PLAN

Country: MALAWI

Programme Title: Strengthening Institutional Capacity for Development Effectiveness and Accountability

UNDAF Outcome 4.2: Public Institutions are better able to manage, allocate, and utilize resources for effective development and service delivery by 2018.

UNDAF Action Plan Outputs:

- Output 4.2.2** National Institutions utilize RBM systems for planning, monitoring and evaluation to enhance ownership and leadership for achievement of development results.
- Output 4.2.3** Government has sufficient capacity to effectively negotiate, manage and account for development assistance.
- Output 4.2.4** National Institutions have the capacity to align policies, programmes and budgets with national development strategies and MDGs for efficient achievement of development results.

Programme Summary

The Joint Programme on Strengthening Institution Capacity for Development Effectiveness and Accountability (DEAP) is a UN response to enhance development effectiveness by improving systems, tools and mechanisms for national policy and strategic planning, management, monitoring, evaluation, reporting and accountability. The programme seeks to entrench the culture of accountability for effective use of resources and achievement of results in public institutions. The Programme has three outputs which are also UNDAF Action Plan Outputs and these are; National Institutions utilize RBM systems for planning, monitoring and evaluation to enhance ownership and leadership for achievement of development results as output 1; National Institutions have the capacity to align policies, programmes and budgets with national development strategies and MDGs for efficient achievement of development results as output 2; and Government has sufficient capacity to effectively negotiate, manage and account for development assistance as output 3.

The Joint Programme has three outputs which are also UNDAF Action Plan Outputs. During 2018 DEAP will implement the Outputs presented in Annex 1 below and these are:

Output 1: National Institutions utilize RBM systems for planning, monitoring and evaluation to enhance ownership and leadership for achievement of development results.

Output 2: National Institutions have the capacity to align policies, programmes and budgets with national development strategies and SDGs for efficient achievement of development results.

Output 3: Government has sufficient capacity to effectively negotiate, manage and account for development assistance

The Ministry of Finance, Economic Planning and Development (MoFEPD) is the implementing partner for the JP and is responsible for overall planning and management of the programme and achievement of its objectives. The main responsibility for the activities of JP are with the Monitoring and Evaluation, Economic Planning, Budget and Debt and Aid Divisions in MoFEPD and the Performance Enforcement Department in Office of the President and Cabinet (OPC). The NSO and the MoLGRD also have an active role in the programme and are responsible for individual activities.

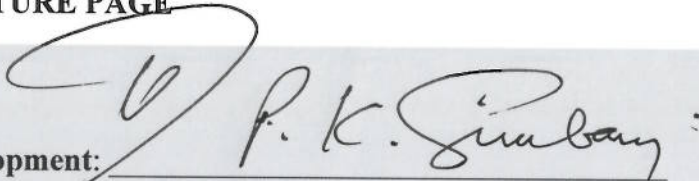
2018 being the final year of the programme, focus will be on localization of SDGs, alignment of sectoral and District Development Plans to the MGDS III, dissemination of MGDS III, support to the National Planning Commission, conducting a Development Finance Assessment, Publication of the Integrated Budgeting Manual for Malawi, review of the Development Cooperation Strategy and formulation of its successor, improvement in aid reporting and enhancement of aid effectiveness coordination mechanisms, formulation of an M&E Policy, and the 2017/18 performance evaluation of MDAs.

<p>Programme Duration: 4 years initially, extended to 5 years.</p> <p>Anticipation start/end dates: January 2014-October 2018</p> <p>Fund Management: Parallel and basket funding</p> <p>Managing Agent: UNDP</p> <p>Project Award No: 00071958</p> <p>Output Id: 00085206; 00086541, 00086542</p>	<p>Total Available resources: \$1,184,137.00</p> <p>Total 2018 budget: \$1,184,137.00</p> <p>Total Funds not programmed: \$0</p> <p>Sources of funding (US \$)</p> <p>TRAC: 587,137.00</p> <p>EU: 497,000.00</p> <p>UNICEF: 0</p> <p>UNWomen: 100,000.00</p> <p>Government: 0</p> <p>Unfunded gap: 0</p>
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Agreed by Lead Coordinating Partner

Ministry Finance, Economic Planning and Development:

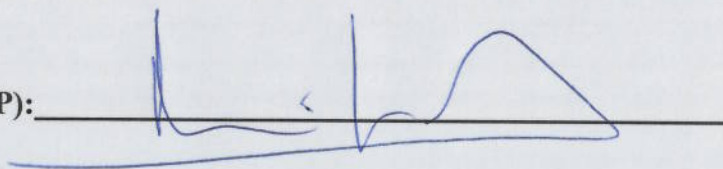

P. K. Simbany

Date: 10 - 04 - 2018

Lilongwe, Malawi

Approved by UNDP Agency

United Nations Development Program (UNDP):



Date: 11 - 04 - 2018

Lilongwe, Malawi

ANNEX 1: DEAP 2018 AWP

UN Organization Specific Annual Targets	Activities	Time Frame				Responsible Party	Planned Budget
		Q1	Q2	Q3	Q4		
JP Output 1: National Institutions utilize RBM systems for planning, monitoring and evaluation to enhance ownership and leadership for achievement of development results							
Indicator 1: Number of public institutions practicing RBM Baseline: 0 (2010) Status 2017: 4 Target 2018: This indicator will not be used in 2018	1.1 Strengthen RBM capacity and practice and operational costs for EP&D		X			UNDP, EP&D-M&E/Planning	10,000.00
Indicator 2: Number of staff in ministries and districts trained in RBM Baseline: 56 at district level; 25 in line ministries Status 2017: 446 district level; 41 in line ministries Target 2018: This indicator will not be used in 2018, depending on SC approval	1.1.1 Joint learning on RBM experiences with RBM pilot institutions from selected ministries and districts and recommendations for the way forward (to be organized by the joint RBM team)		X				
Indicator 3: Number of staff within learning and training institutions who have RBM skills and knowledge and are delivering RBM training Baseline: 4 Status 2017: 6 Target 2018: This indicator will not be used in 2018	1.1.2 Study to establish status of RBM in Malawi, its current use, practical applications, best practices, challenges and the way forward. Study to be informed by the joint learning event. Findings from the study should inform a review and update process of the RBM modules used for the induction of government staff at SDI and MIM		X	X		UNDP, EP&D-M&E/Planning	12,000.00
Indicator 4a: % of Ministries with functional M&E System Base line: 60% (2010) Status 2017: 100% Target 2018: Maintain 100%	1.1.3 Project staff costs (admin accountant and Young Professionals), airtime, maintenance and insurance of project vehicle, office supplies	X	X	X	X	UNDP, EP&D-M&E/Planning	42,000.00
Indicator 4b: # of district councils with functional M&E systems Baseline (2012): 7 Status (2017): 14 Target (2018): 28	GMS for activity 1.1 (7% of EU funds)						-
	Sub Total for A1.1						64,000.00
	1.2 Support to National Statistical System						
	1.2.1 Capacity building of NSO through scholarship support to 19 officers completing Diploma in Statistics at Chancellor College. (Tuition fees paid in late 2017, graduation coming up in 2018, but no cost implication in 2018)	X	X			UNDP, NSO	-
	1.2.2 Data gaps mapping and planning for an Integrated Data Management Platform		X	X	X	UNDP, NSO	35,000.00
	1.2.3 Continuation of NSO gender statistics training and pre-testing	X	X	X	X	UN Women, EP&D-NSO	35,000.00
	GMS for activity 1.2 (7% of EU funds)						0.00
	Sub Total for A1.2						70,000.00
	Total Amount in US\$						Total Amount in US\$

Indicator 5: Number of institutions reviewed for performance and provided with feedback.

Baseline 0 (2011):

Status 2017: 33

Target 2018: 38

Indicator 6: Number of institutions that have implemented at least 70 % of PC evaluation recommendations

Baseline: 0 (2011)

Status 2017: 33

Target 2018: 38

1.3 Strengthen M&E Systems at all levels						
1.3.1 Customisation of Integrated Performance Management Information System (IPMIS) and training for data entry and data user staff. Testing of IPMIS, population of OPC-PED performance evaluation data and integration between IPMIS and other systems.	X	X	X	X	UNDP, EP&D-M&E/Planning	15,000.00
1.3.2 Formulate M&E Policy through a consultative process, build governments M&E capacity, strengthen the national M&E system. Policy to map the M&E landscape and clarify responsibilities and requirements.	X	X	X	X	UNDP, NPC EP&D- M&E/Planning, MoLG	55,000.00
GMS for activity 1.3 (7% of EU funds)						3,850.00
Sub Total for A1.3						73,850.00
1.4 SDGs and NDS Reviews and Evaluations						
Annual Review of MGDS III implementation progress			X		UNDP, NPC, EP&D- M&E/Planning	2,500.00
GMS for activity 1.4 (7% of EU funds)						
Sub Total for A1.4						2,500.00

1.5 Support to the Performance Contracting System						
1.5.1. Comprehensive refresher training to officers from MDAs on Performance Contracting (including training of new staff). This will include both training of the technical level staff and orientation of senior management (PS level). PED staff to offer training one MDA at the time, or a small group to meet at their office.	X	X	X	UNDP, OPC-PED	737.00	
1.5.2. Support to a team of independent evaluators (paid by government) and PED core team members to conduct quarterly groundtruthing exercise across the country to support evaluations and verify progress reports from MDAs, contributing to the year end assessments and performance rating reports.	X	X	X	UNDP, OPC-PED	20,000.00	
1.5.3. Undertake year end assessments, policy dialogue with stakeholders and dissemination of PC results from 16/17	X	X	X	UNDP, OPC-PED	5,000.00	
1.5.4. Institutional negotiations on performance contracts and target setting	X	X	X	UNDP, OPC-PED	1,800.00	
1.5.5. Refurbishment of OPC-PED offices to be able to host trainings and meetings in-house	X	X	X	UNDP, OPC-PED	38,000.00	
1.5.6 Provision of intranet services to PED offices, launch of the PED website, operational costs, stationary and office supplies and equipment	X	X	X	UNDP, OPC-PED	14,500.00	
1.5.7. Support to PED on learning and knowledge exchange on Performance Contracting with counterparts in other countries in the region. (Bring Experts to Malawi.)	X	X	X	UNDP, OPC-PED	10,000.00	
1.5.8 Review of Guidelines involving all MDAs that are involved in performance contracts. (Current guidelines are from July 2016). Guidelines to be available on PED website.	X	X	X	UNDP, OPC-PED	1,000.00	
1.5.9 Forum on the role of controlling officers in enhancing corruption eradication - joint activity with Anti-Corruption Bureau	X	X	X	UNDP, OPC-PED, ACB	7,600.00	
GMS for activity 1.5 (7% of EU funds)					1,890.00	
Sub Total for A1.5					100,527.00	
TOTALS FOR OUTPUT 1						
				TRAC (UNDP)	188,137.00	
				UN Women	35,000.00	
				EU	82,000.00	
				GMS	5,740.00	
				Total output 1	310,877.00	

Output 2: National Institutions have the capacity to align policies, programmes and budgets with national development strategies and SDGs for efficient achievement of development results.

<p>Indicator 1: Programme based budgeting piloted in institutions.</p> <p>Baseline: 2012: 0</p> <p>Status 2017: 109 (cumulative)</p> <p>Target 2018: Project target of piloting PBB in all institutions has been met</p> <p>Indicator 2: Number of functional SWGs</p> <p>Baseline: 6 (2012);</p> <p>Status 2017: 14</p> <p>Target 2018: 16</p> <p>Indicator 3: National development strategy formulated through a participatory process by Dec 2016:</p> <p>Baseline: 0</p> <p>Status 2017: 1</p> <p>Target 2018: Project target of formulating MGDS III has been met.</p> <p>Indicator 4: Sector and district plans aligned with MGDS priorities and linked to MTEF process</p> <p>Baseline: 0 (2011);</p> <p>Status 2017: 16 sectors and 28 districts were aligned with MGDS II. Work on aligning with MGDS III was yet to begin in 2018.</p> <p>Target 2018: 5 sectors and 10 districts aligned to MGDS III</p>						
<p>2.1. Support to Programme Based Budgeting:</p>						
2.1.1 Build capacity of Budget Officers, Subventions, Treasury Trust Funds and Local Councils on RBM and PBB budget formulation and reporting, ensuring integration of gender responsive budgeting in PBB.		X			UNDP, UN Women, Budget	10,000.00
2.1.2 Print and publish the PBB manual, templates and budget guidelines. Gender responsive budgeting to be included as integral part in manuals, templates and guidelines.	X				UNDP, UN Women, Budget	7,000.00
2.1.3 Review of PBB indicators to include gender responsive budgeting		X			UN Women, Budget	35,000.00
2.1.4 Hold consultative workshop to harmonise M&E framework for PBB, hereunder reporting and monitoring activities among key stakeholders (Treasury, Economic Planning and Development, Department of Statutory Corporations and Performance Enforcement Department)		X			UNDP, Budget	3,500.00
2.1.5 Continuation of training in gender responsive budgeting for key stakeholders	X	X	X	X	UN Women, Budget	30,000.00
GMS for activity 2.1 (7% of EU funds)						1435.00
Sub Total for A2.1						86,935.00
<p>2.2 Support Sector Working Groups</p>						
2.2.1 Support Sector Working Group coordination for the implementation of MGDS III.	X	X	X		UNDP, NPC and EP&D	15,000.00
Sub Total for A2.2						15,000.00
<p>2.3 National Human Development Report and national development strategy evaluations supported</p>						
No work planned under DEAP for 2018						
Sub Total for A2.3						0.00

2.4 Support National Development Strategy formulation and post-2015 development agenda.						
2.4.1 Launch, printing and dissemination of MGDS III, including development of a communication strategy and communication material for MGDS III	X	X	X		Direct UNDP Implementation w/NPC&EP&D	84,000.00
2.4.2 Localisation of SDGs-Building capacity for a bottom-up, decentralized approach to integrate the Sustainable Development Goals (SDGs) and MGDS III priorities into sectoral and district development plans. This will involve hands on support to districts with the MoLG.	X	X	X	X	Direct UNDP Implementation w/MoLGRDD and NPC	115,000.00
2.4.3 Support National Planning Commission for effective development planning, implementation and monitoring of MGDS III and formulation of the next long-term vision for Malawi.	X	X	X	X	Direct UNDP Implementation with NPC	50,000.00
2.4.4 Build capacity of NPC, MoLG planners and EP&D officers in SDG mainstreaming, policy planning, implementation for the SDGs and reporting of progress at all levels	X	X	X	X	Direct UNDP Implementation w/NPC, EP&D and MoLG	7,000.00
2.4.5 Raising awareness on SDGs and agenda 2063 through outreach to and capacity building of stakeholders. Printing of ISDG modelling report.	X	X	X	X	Direct UNDP with NPC and EP&D	12,000.00
2.4.6 Conduct a Development Finance Assessment, build government capacity to be able to regularly undertake DFA and follow up on its recommendations.	X	X	X	X	Direct UNDP with NPC, EP&D, DAD	23,000.00
GMS for activity 2.4 (7% of EU funds)						7,490.00
Sub Total for A2.4						298,490.00
TOTALS FOR OUTPUT 2						
TRAC (UNDP)						199,000.00
EU						127,500.00
UN Women						65,000.00
GMS						8,925.00
Total output 2						400,425.00

Joint Output 3: Government has sufficient capacity to effectively negotiate, manage and account for development assistance

<p>Indicator 1: 2015/16 and 2016/17 FYs Development Cooperation Atlas produced by October 2017.</p> <p>Baseline: No (2014)</p> <p>Status 2017: Draft 15/16 Atlas produced, but Atlas not cleared for circulation yet.</p> <p>Target 2018: Yes</p> <p>Indicator 2: Number of DPs reporting on the online AMP:</p> <p>Baseline 2014: 6,</p> <p>Status 2017: 23</p> <p>Target 2018: 35</p> <p>Indicator 3: Functional arrangements for mutual accountability in place;</p> <p>Baseline: 1 DCG meeting (2014);</p> <p>Status 2017: 1 DCG meeting (March), 1 HLF meeting (September)</p> <p>Target 2018: 1 HLF, and 2 DCG meetings</p>						
<p>3.1 Strengthen debt and aid management functions</p>						
<p>3.1.1 Support to DAD: In-country training in Public Private Partnership (PPP) financing and government guarantees. External debt management training. Maintenance and insurance for 2 project vehicles, airtime and office supplies.</p>	X	X	X		DAD	30,000.00
<p>3.1.2 Production of the Development Cooperation Atlas (DCA) for 2015/16 and 2016/17 (500 copies and courier costs)</p>	X	X			DAD	6,000.00
<p>3.1.3 DAD officers produce and distribute user guides for AMP and provide training to AMP users and data entry focal points and conduct AMP portfolio reviews.</p>	X	X	X	X	DAD	6,000.00
<p>3.1.4 Training of MoFEPD and e-government IT officers to the level of Microsoft Systems Experts to be able to manage the AMP server.</p>		X			DAD	5,000.00
<p>3.1.5 Technical support for AMP development, maintenance, hosting and transfer of system to Ministry of Finance.</p>	X	X	X	X	DAD	110,000.00
<p>GMS for activity 3.1 (7% of EU funds)</p>						10,990.00
<p>Subtotal 3.1</p>						167,990.00

3.2. Support to effective Development Cooperation						
3.2.1	End-term review of the Development Cooperation Strategy and preparation of the successor strategy.		X	X	DAD	5,000.00
3.2.2	Effective preparation, hosting and follow up of national dialogue structures (HLF and DCG meetings)	X	X	X	DAD	20,000.00
3.2.3	Support Malawi's participation in Global Partnership for Effective Development Cooperation initiatives and IATI, including localisation of the Nairobi HLF Outcome document. (Workshops, external travel and IATI subscription)		X	X	DAD	15,000.00
	GMS for activity 3.2 (7% of EU funds)					2,800.00
	Sub Total for A3.2					42,800.00
3.3 Technical support to development effectiveness and accountability, government debt and aid management policies, and overall project management						
3.3.1	UNDP project management requirements, organisation of Steering Committee and Programme Management Coordination Meetings	X	X	X	Direct UNDP Implementation	19,000.00
3.3.2	Salaries for effective project management (Direct project costing for UNDP staff)	X	X	X	Direct UNDP Implementation	166,000.00
3.3.3	Technical assistance to the DEAP project and formulation of a successor programme.	X	X	X	Direct UNDP Implementation	47,986.00
3.3.4	Project Monitoring, evaluation, audits and visibility			X	Direct UNDP Implementation	15,000.00
	GMS for activity 3.3 (7% of EU funds)					3,359.02
	Sub Total for A3.3					251,345.02

3.4 Learning & Information Exchange on Development Effectiveness									
Multi-stakeholder platforms leveraging Civil society capacity for enhancement of development effectiveness and development results		X	X		Direct UNDP Implementation				10,000.00
GMS for activity 3.4 (7% of EU funds)									
Sub Total for A3.4									10,700.00
TOTALS FOR OUTPUT 3									
					TRAC (UNDP)				200,000.00
					EU				254,986.00
					GMS				17,849.02
					Total				462,135.02
					Grand Total for TRAC (UNDP)				587,137.00
					Grand Total for EU (including GMS)				497,000.02
					Grand Total for Unicef				-
					Grand Total for UN Women				100,000.00
					Grand Total				1,184,137.02